

Abandoned Property Account Reinstatement

Please use this form to transfer your holdings out of Abandoned Property status.

Why is my account considered Abandoned?

Pursuant to state law, generally an account is considered Abandoned if:

- There is a lack of client-initiated activity (reinvestment of dividends does not constitute client-initiated activity), **and/or**
- Mail has been returned by the U.S. Postal Service or has been undeliverable via email (e-delivery)

Accounts are moved into an Abandoned status to prevent your personal account information from being sent to an invalid address or email address. Holdings in Abandoned status cannot be accessed until your contact information (phone number, address and email address) is updated.

Next steps

In order to avoid potential escheatment of your holdings to the state of your last known residence, please complete this form.

All account owners are required to execute their signatures in the presence of a certified public notary. Clients using a notary licensed by the state of California or Florida should use the notary acknowledgments on Page 4; all others should refer to Page 3.

For fastest service, please fax this document to **866.994.7807**; alternatively, use the enclosed envelope and mail to:

**Merrill Document Processing
PO Box 14354
Lexington, KY 40512-9706**

NOTE: Notary is not required if account balance is under \$150,000. If the account balance is above \$150,000, the notarization is required. If you have changed your name, use your new name throughout this form, complete the Legal Name Change section on Page 2 and provide the requested documentation. If you are an heir of a deceased client, additional forms may be required. Please call 855.450.9015 for more information.

Any questions?

Please contact us if you have any questions regarding this form, 24/7 at **877.653.4732**.

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Investment products:

Are Not FDIC Insured

Are Not Bank Guaranteed

May Lose Value

Abandoned Property Reinstatement Document

Step 1: 8-Digit Merrill Account Number(s) (Required)

Account number

Account number

Step 2: Client Name(s)

Account owner 1 (Please print first, middle and last name)

Account owner 2 - if applicable (Please print first, middle and last name)

Step 3: Enter Your Contact Information

*If P.O. Box is used, residential address is required and must also be listed

Residential address

City

State

ZIP Code

Mailing address

City

State

ZIP Code

Contact phone number

Email

Step 4: Legal Name Change (must be completed if applicable)

(Required if the account name has changed)

I have recently changed my name and would like my account to reflect this change. Please change my name on the above-referenced account to:

(Please print first, middle and last name)

Date

This change is due to (choose one):

Marriage Divorce Court order

(Sign with new name)

Date

Formerly known as:

(Sign with old name)

Date

In support of this request, I have submitted copies of the following documentation (at least one is required):

Marriage certificate Divorce decree Court order Social Security card showing new name

Step 5: Action Taken Once Account Is Reinstated

Please select from the below the action to be taken when the account is reinstated:

Retain account

Close account

Transfer account to another brokerage firm

NOTE: Depending on the option selected above, additional documentation may be required.

Step 6 (continued): Confirm Ownership, Signature and California Notary

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

I (we) confirm ownership of the account listed above. Please do not classify this account as Abandoned.

California Notarization of Account Owner 1 Signature (Required for account balances over \$150,000)

Signature of account owner 1 Date
(To be acknowledged in the presence of a notary)

State of California

County of

On _____ before me, _____,
(Date) (Insert name and title of the officer)
personally appeared _____,
(Account owner's name)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Seal

California Notarization of Account Owner 2 Signature (Required for account balances over \$150,000)

Signature of account owner 2 Date
(To be acknowledged in the presence of a notary)

State of California

County of

On _____ before me, _____,
(Date) (Insert name and title of the officer)
personally appeared _____,
(Account owner's name)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Seal

Florida Notarization of Declarant 1 Signature (Required for account balances over \$150,000)

Jurat for Florida

Must be signed in the Presence of Notary. Please note that all fields, including the boxes below, must be completed by the Notary Public or the document will be returned. This jurat must be used by notaries in Florida.

Signature of Declarant 1 MM DD YYYY
Notarization is required

State of Florida County of _____

Sworn to (or affirmed) and subscribed before me by means of
 physical presence or online notarization,

this _____ day of _____, 20____ by

(Insert signor's name, NOT notary's name)

Signature of notary public (Affix seal or stamp)

Print name of notary public

PLEASE CHECK ONE OF THE FOLLOWING (REQUIRED):

Personally known **OR** Produced identification

Type of identification produced

Florida Notarization of Declarant 2 Signature (Required for account balances over \$150,000)

Jurat for Florida

Must be signed in the Presence of Notary. Please note that all fields, including the boxes below, must be completed by the Notary Public or the document will be returned. This jurat must be used by notaries in Florida.

Signature of Declarant 2 MM DD YYYY
Notarization is required

State of Florida County of _____

Sworn to (or affirmed) and subscribed before me by means of
 physical presence or online notarization,

this _____ day of _____, 20____ by

(Insert signor's name, NOT notary's name)

Signature of notary public (Affix seal or stamp)

Print name of notary public

PLEASE CHECK ONE OF THE FOLLOWING (REQUIRED):

Personally known **OR** Produced identification

Type of identification produced