

Incoming Direct Registration System/Deposit and Withdrawal at Custodian Authorization Letter

Please use this form to transfer securities through the Direct Registration System (DRS) or deposit and withdrawal from a custodian to Merrill Lynch, Pierce, Fenner & Smith Incorporated (Merrill).

Direct Registration System (DRS): A DRS transfer is most commonly used when your securities are held directly on the books and records of a transfer agent and you would like to move those securities to Merrill.



Your transfer request is a DRS transfer if:

- The shares are already issued and are registered to you.
- You have an account number with the transfer agent and receive statements.

Deposit and Withdrawal at Custodian (DWAC): A DWAC refers to new or certified paper shares to be electronically transferred. This transfer option is typically used for shares that were awarded from a company/employer and will need to be pulled from a pool of shares to complete the electronic transfer.

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Your transfer request is a DWAC transfer if:

- The shares are new and will need to be pulled from a pool of shares.
- You do not have a personal account with the transfer agent and do not receive statements.

Note: Movement of certain low priced securities may be subject to restrictions.

Avoid transfer delays! Please review the following section:

Use one form for each account that you are transferring.

Complete this entire form and use CAPITAL letters and BLACK ink.

Complete only DRS section (2a) OR DWAC section (2b) on transfer form.

Make sure a W-9 is on file with the transfer agent

Make sure the transferring shares are in book entry form

For DRS transfers, print all pages of your most recent statement dated within the last six months and submit with this form. Send all documents to us using one of these methods:

Overnight and Regular Mail	Fax
Merrill Document Processing	877.229.7160
FL1-908-01-36	
4909 Savarese Cir	
Tampa, FL 33634	

For faster service, this form can be completed electronically by signing into your account on merrilledge.com.

Financial Solutions Advisor:	Phone Number:
If any of the following scenarios apply:	Provide a copy of the following documentation:
Different last name	Marriage, divorce or legal name change
Individually owned to a jointly owned Merrill account	Driver's license or government-issued ID for all account owners; we may also contact you for additional requirements
Jointly owned account to an individual Merrill account	Please call a Merrill specialist at 877.653.4732
Different Trustees	Updated Trust Agreement

Need help? Call 877.653.4732 to speak with a Merrill specialist.



Are Not FDIC Insured	Are Not Bank Guaranteed	May Lose Value
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Complete ALL fields	1. Please enter your Merrill account information: Account number: — — — — — — — — — — — — — — — — — — —							
ALL Helds								
Use CAPITAL letters and BLACK ink.								
BLACK INK.	Account holder name (first, middle, last) Co-account holder na				me (first, middle, last) Entity name (trust/business/estate)			
		l Account type (n-retirement	select one): Retirement	Street		City	/ State	ZIP Code
	2. Ple	ease enter t	transfer agent	t's information:				
Complete only section 2a or 2b	 Direct Registration System(DRS): DRS will allow you to electronically transfer shares from the transfer agent to Merrill. Please be sure to include your most recent account statement, dated within the last six months. 			 2b. Deposit/Withdrawal at Custodian (DWAC): A DWAC typically needs to be done when you are awarded shares from a company and they are pulled from a pool of shares. You will not have a personal account with the transfer agent in these situations. 				
	Account holder name (first, middle, last)				Account holder name (first, middle, last)			
	Social Security number				Social Security number			
	Name of transfer agent/contra firm				Name of transfer agent/contra firm			
Calastana	Account number (key)				Control/transaction number (if available)			
Select one transfer option	Stock	name	Sto	ock symbol	Stock nam	e (required)	Stock	symbol (required)
	Contra Account type: Non-retirement Retirement				Contra Account type: Non-retirement Retirement			
	Trans	fer Instructions	(select one)		Transfer Instructions			
	2.		whole shares. (in e shares, liquidate fra count.		1. Trar	nsfer	whole shares. (in:	sert # to be transferred)
Sign and date	3. Sign and date:							
uute	Accou	int holder signat	ure	Date Co	o-account ho	older signati	ure	Date
	4. Medallion Signature Guarantee: Only required if transferring from unlike ownership							
Have Questions? Call	*Medallion Signature Guarantee is a certification stamp used to protect shareholder accounts and prevent the unauthorized transfer of assets. By stamping documents, the endorser certifies the signature is genuine and the person signing is legally authorized to do so. This stamp is available from most banks, credit unions and other financial institutions.							
877.653.4732 to speak with a Merrill specialist	Medallion Signature Guarantee Stamp			Medallion Signature Guarantee Stamp				

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