

 **NextGen College Investing Plan[®]**
Incoming Rollover Form

Complete this form if you are rolling over all, or part, of the balance of an account with another state's Section 529 Plan to a NextGen College Investing Plan[®] Account (the "NextGen Account"). If you are rolling over from another state's Section 529 Plan, (i) the rollover must be made within 60 days of the withdrawal from that account and (ii) the Designated Beneficiary of the NextGen Account must be a Member of the Family of the Designated Beneficiary of the original Section 529 Plan account. Amounts may be rolled over without changing the Designated Beneficiary, provided that only one such rollover is made within a 12-month period. If you do not already have a NextGen Account, you must also complete a NextGen College Investing Plan Account Application. If you would like to transfer assets from an existing NextGen Account to another existing NextGen Account for a different Designated Beneficiary, you must complete a Change of Designated Beneficiary Form. To obtain forms, contact your Financial Advisor or the Merrill Edge Advisory Center[™] by calling the number indicated on your account statements.

1. NextGen Account Information

NextGen Account Number

Participant Information: The Account Owner

Name (Last/First/M.I.) or Name of Custodianship/Trust/Corp./Other

Street Address (No P.O. Box)

City State ZIP Code Country

Social Security No. or Taxpayer Identification No.

Date of Birth (month/day/year)

Daytime Phone Evening Phone

Designated Beneficiary Information: The Student

Last Name First Name M.I.

Social Security Number

Designated Beneficiary's Date of Birth (month/day/year)

2. Current Plan Account Information

Section 529 Plan Rollover **Coverdell Education Savings Account**

Please note: By selecting **Coverdell Education Savings Account** and signing this form, you acknowledge and agree that your Merrill Lynch Financial Advisor has not recommended this transaction. If your Merrill Lynch Financial Advisor has recommended that you roll your Education Savings Account assets into a Section 529 Plan, you must make the rollover into a self-directed Section 529 Plan account.

Name of Distributing Plan

Distribution Plan Account Number

Mailing Address of Distributing Plan

Participant Name (the Account Owner)

City State ZIP Code Country

Designated Beneficiary Name: The Student

** Not required for state or local governments or organizations described in Section 501(c)(3) of the Internal Revenue Code at the time the Account is opened.*

3. Rollover Instructions

Please complete this section to indicate the amount to rollover to your NextGen College Investing Plan Account and provide it to your current plan provider for processing.

- Entire Account Balance
- Partial Account Balance: \$ _____

Please note that it is the responsibility of the distributing Section 529 Plan to provide Merrill Lynch appropriate documentation showing principal and earnings. If the distributing Section 529 Plan does not provide such documentation, be advised that Merrill Lynch will treat this entire transfer amount as earnings, which may be taxable upon withdrawal.

Note: Appropriate Documentation Must Be Attached	
Principal Portion of Rollover Contribution:	\$ _____
Earnings Portion of Rollover Contribution:	\$ _____
Total Rollover Contribution:	\$ _____

PLEASE FOLLOW THESE MAILING INSTRUCTIONS TO AVOID DELAYS IN DELIVERY AND PROCESSING

For clients serviced by:

<u>Merrill Lynch Financial Advisor</u> Return to: Address specified on your NextGen Account statement or contact the Merrill Lynch office servicing your accounts.	<u>Merrill Edge</u> MSC NJ2-140-02-10 P.O. Box 1501 Pennington, NJ 08534	<u>Non-Merrill Lynch Financial Advisors</u> College Plan Services P.O. Box 1518 Pennington, NJ 08534-1518	<u>Make check payable to:</u> NextGen College Investing Plan FBO (Designated Beneficiary) Account # (NextGen Account number)
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To distributing Section 529 Plan: This Incoming Rollover Form is authorization to liquidate the above referenced participant's Section 529 assets (Section 2) and forward the proceeds to the NextGen College Investing Plan, a qualified tuition program under Section 529 of the Internal Revenue Code. Merrill Lynch as Program Manager of the NextGen Plan will accept these assets as a qualifying rollover. If these assets are funded by a UGMA/UTMA account or a Trust Account, please indicate appropriately on the check. Please provide appropriate documentation concerning the breakdown of the Contribution by principal and earnings for the entire amount of the Contribution.

4. Signature

I am submitting this Incoming Rollover Form in connection with a request to roll over a withdrawal from an existing qualified tuition program under Section 529 of the Internal Revenue Code or from a Coverdell Education Savings Account. I have read the NextGen College Investing Plan Program Description and Participation Agreement and certify that: (i) my Contribution described in Section 3 above qualifies under applicable federal tax law as a tax-free rollover Contribution to a qualified tuition program, and (ii) I understand that if I do not indicate the principal/earnings breakdown of the rollover Contribution, the entire amount of the Contribution will be treated as earnings and may be taxable upon withdrawal.

By signing below, I acknowledge that if I selected Coverdell Education Savings Account Rollover in Section 2, that my Merrill Lynch Financial Advisor has not recommended the rollover of ESA assets to my NextGen College Investing Plan account.

X _____
Signature of Participant

Date

Signature Guarantee – May be Required

Important – The Program Manager for the distributing Section 529 Plan may require a signature guarantee. Please contact the distributing Section 529 Plan to determine if a signature guarantee is required. You may obtain a signature guarantee from a bank, savings association, credit union, or brokerage firm. A notary public cannot provide a signature guarantee.

X _____
Signature of Guarantor

Date

X _____
Title / Name of Institution

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Merrill Edge® is the marketing name for two businesses: Merrill Edge Advisory Center, which offers team-based advice and guidance brokerage services; and a self-directed online investing platform.

Merrill Lynch makes available products and services offered by MLPF&S and other subsidiaries of Bank of America Corporation (“BofA Corp”).

Investment products:

Are Not FDIC Insured	Are Not Bank Guaranteed	May Lose Value
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Program Administrator

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