



*Client Select Series  
Investment Change Form*

**Please be sure to scan this form to the client's account.**

For Merrill Lynch Internal Use Only	
<b>To process</b>	<b>Screen</b>
Future Contributions	KDC
Exchanges	RNO option 6

Complete and submit all pages of this form if you would like to initiate any of the following investment changes to your NextGen 529 Account with assets in the Client Select Series. Please complete one Investment Change Form per NextGen 529 Account.

- Update how your **Future Contributions** are allocated (Section 2a)
- **Exchange** your existing NextGen Account assets (Section 2b)

If you'd like to request a verbal investment change, please contact the appropriate party shown below. For clients serviced by:

- Merrill Lynch Financial Advisor - Contact your Financial Advisor directly
- Merrill Edge Advisory Center - Call 888-654-6837
- Non-Merrill Lynch Financial Advisor - Call 888-264-9077

Future Contributions may be changed at any time. Participants may exchange or change how existing NextGen 529 Account assets are allocated for the same Designated Beneficiary **twice per calendar year** or upon a change of the Designated Beneficiary, except that Unit Class changes within the same Portfolio are not so restricted.

Upon receipt of properly completed paperwork, investment changes may take up to five business days to process. The requested proceeds of the redeemed Units will be reinvested in the Portfolio(s) as directed and will be invested at the net asset value for Units of the Portfolio(s) on the day of reinvestment. To initiate an investment change in your NextGen 529 Account, complete the applicable sections, sign Section 3 and follow the mailing instructions.

## 1. Current Account Information

**Information about you, the Participant:**

\_\_\_\_\_  
Name (Last/First/M.I.) or Name of Custodianship/Trust/Corp./Other

\_\_\_\_\_  
Last four digits of your Social Security Number (required)

\_\_\_\_\_  
NextGen 529 Account Number

\_\_\_\_\_  
Daytime Phone Number                      Evening Phone Number

**Information about your Designated Beneficiary:**

\_\_\_\_\_  
Last Name                      First Name                      M.I.

\_\_\_\_\_  
Last four digits of the Designated Beneficiary's Social Security Number (required)

\_\_\_\_\_  
Date of Birth (month/day/year)

**MULTIPLE NEXTGEN 529 ACCOUNTS**

**Do you have additional NextGen 529 Accounts for the same Designated Beneficiary?**     Yes\*     No

\*Instructions for multiple NextGen 529 Accounts for the same Designated Beneficiary **MUST** be submitted **AT THE SAME TIME** in order for the instruction to count as only one of the two investment changes a Participant is permitted to make per year. If instructions for the same Designated Beneficiary are received and processed at separate times, the subsequent instruction will count as the second investment change permitted per calendar year.

## 2a. Future Contributions

Completing this Section will not update how existing assets are allocated. If you would like to change the existing assets, please complete Section 2b.

Investment instructions for future contributions may be changed at any time. If you would like to change how your future contributions are invested, please follow the instructions below.

- Enter the percentage of contributions to be allocated to each Portfolio. Percentages must be shown as whole numbers.

Portfolio Type	Portfolio Name	Enter New Allocation %
Age-Based	BlackRock Age-Based Portfolio	
	iShares Age-Based Portfolio	
	Franklin Templeton Age-Based Portfolio	
	MFS Age-Based Portfolio	
Diversified	BlackRock 100% Equity Portfolio	
	BlackRock 75% Equity Portfolio	
	BlackRock Fixed Income Portfolio	
	iShares Diversified Equity Portfolio	
	iShares Diversified Fixed Income Portfolio	
	Franklin Templeton Balanced Portfolio	
	Franklin Templeton Growth and Income Portfolio	
	Franklin Templeton Growth Portfolio	
	MFS Conservative Mixed Asset Portfolio	
	MFS Equity Portfolio	
MFS Fixed Income Portfolio		
Single Fund	American Century Inflation-Adjusted Bond Portfolio	
	BlackRock Equity Dividend Portfolio	
	BlackRock Global Allocation Portfolio	
	BlackRock Advantage Large Cap Core Portfolio	
	BlackRock Advantage Large Cap Growth Portfolio	
	iShares Core Conservative Allocation Portfolio	
	iShares Core Growth Allocation Portfolio	
	iShares Core Moderate Allocation Portfolio	
	Franklin Templeton Global Bond Portfolio	
	Franklin Templeton Mutual Shares Portfolio	
	Franklin Templeton Small Cap Value Portfolio	
	Franklin Templeton Small-Mid Cap Growth Portfolio	
	Lord Abbett Total Return Portfolio	
	MainStay Large Cap Growth Portfolio	
	MFS Research International Portfolio	
	MFS Value Portfolio	
Neuberger Berman International Equity Portfolio		
Stable Principal	NextGen Savings Portfolio <sup>1</sup>	
	Principal Plus Portfolio	
	<b>Total of contribution allocations must equal 100%</b>	

## 2b. Exchange (Existing Assets)

Completing this Section will not update how future Contributions are allocated. If you would like to change the investment instructions for future Contributions, please complete Section 2a.

Current NextGen 529 Account assets may be exchanged **twice per calendar year** or upon a change of the Designated Beneficiary. **Each Portfolio has its own fee and expense structure. The new Portfolio you are choosing to invest in may not bear the same fee and expense structure of the Portfolio you are currently invested in. Please refer to the NextGen 529 Program Description for more detailed information on fees and expenses for specific Portfolios.** If you would like to change how your existing Contributions are invested, please follow the instructions below. **Please note that Units of the Principal Plus Portfolio may not be exchanged for Units of the NextGen Savings Portfolio.**

**NOTE: Only complete the column(s) for the unit class(es) that you wish to exchange. Percentages must be shown as whole numbers.**

**Enter New Allocation % Per Unit Class**  
\* This change applies to existing assets ONLY; future allocations must be updated separately, **please complete Section 2a.**

Portfolio Type	Portfolio Name	EXAMPLE	A Units	C Units
Age-Based	BlackRock Age-Based Portfolio	25%		
	iShares Age-Based Portfolio			
	Franklin Templeton Age-Based Portfolio			
	MFS Age-Based Portfolio			
Diversified	BlackRock 100% Equity Portfolio			
	BlackRock 75% Equity Portfolio			
	BlackRock Fixed Income Portfolio			
	iShares Diversified Equity Portfolio			
	iShares Diversified Fixed Income Portfolio			
	Franklin Templeton Balanced Portfolio			
	Franklin Templeton Growth and Income Portfolio	25%		
	Franklin Templeton Growth Portfolio			
	MFS Conservative Mixed Asset Portfolio			
	MFS Equity Portfolio			
	MFS Fixed Income Portfolio			
Single Fund	American Century Inflation-Adjusted Bond Portfolio			
	BlackRock Equity Dividend Portfolio			
	BlackRock Global Allocation Portfolio	25%		
	BlackRock Advantage Large Cap Core Portfolio			
	BlackRock Advantage Large Cap Growth Portfolio			
	iShares Core Conservative Allocation Portfolio			
	iShares Core Growth Allocation Portfolio			
	iShares Core Moderate Allocation Portfolio			
	Franklin Templeton Global Bond Portfolio			
	Franklin Templeton Mutual Shares Portfolio			
	Franklin Templeton Small Cap Value Portfolio			
	Franklin Templeton Small-Mid Cap Growth Portfolio			
	Lord Abbett Total Return Portfolio			
	MainStay Large Cap Growth Portfolio			
	MFS Research International Portfolio			
	MFS Value Portfolio			
	Neuberger Berman International Equity Portfolio			
Stable Principal	NextGen Savings Portfolio <sup>1</sup>	25%		
	Principal Plus Portfolio			
	<b>Total allocations in each Unit Class (if applicable) must equal 100%</b>	100%		

### 3. Signature

I acknowledge that I am in receipt of a copy of the current NextGen 529 Client Select Series Program Description and Participation Agreement and any supplements thereto. I have read both the NextGen 529 Program Description and Participation Agreement and will keep a copy of each for my records. I further acknowledge and agree that the Participation Agreement will govern all aspects of my NextGen 529 Account, including all contributions to my NextGen 529 Account. I also acknowledge that in accordance with the Program Description and Participation Agreement, I am agreeing in advance to arbitrate any controversies which may arise with the Program Manager.

X \_\_\_\_\_  
Signature of Participant

\_\_\_\_\_  
Date

**PLEASE FOLLOW THESE MAILING INSTRUCTIONS TO AVOID DELAYS IN PROCESSING**

For clients serviced by:

**Merrill Lynch Financial Advisor/Merrill Edge Advisory Center™**

**Non-Merrill Lynch Financial Advisor**

Return to: Address specified on your NextGen 529 Account statement

Return to: College Plan Services

P.O. Box 1518

Pennington, NJ 08534-1518

You can also fax this form to 609.910.4696.

<sup>1</sup> **NextGen Savings Portfolio:** Capitalized terms used in this paragraph are defined in the NextGen 529 Client Select Series Program Description. The portion of the underlying deposits in the Bank Deposit Account that is attributable to the Units held by a Participant in the NextGen Savings Portfolio is (a) eligible for FDIC insurance coverage of up to \$250,000 per Participant (calculated on a basis which aggregates that portion of the underlying deposits attributable to the Units held by the Participant in the NextGen Savings Portfolio with all FDIC-insured assets held by the Participant at the Bank) and (b) for purposes of FDIC insurance coverage only, considered to be held in the same ownership capacity as a Participant's other single ownership accounts held at the Bank. However, Units of the NextGen Savings Portfolio are not insured or guaranteed by the FDIC or any other agency of state or federal government, FAME, the Bank or the Program Manager, nor does a Participant have a direct beneficial interest or the rights of an owner in the underlying deposits in the Bank Deposit Account. Participants are responsible for monitoring the aggregated value of the portion of the underlying deposits of the NextGen Savings Portfolio attributable to the Units of such Portfolio held by a Participant plus their other deposits held directly with the Bank, for purposes of the \$250,000 FDIC insurance coverage limit. Deposits held in different ownership capacities, as provided in the FDIC rules, are insured separately. UGMA/UTMA Accounts are generally treated as assets of the Designated Beneficiary, and other types of trust Accounts may be treated as assets of the trustee, for purposes of the FDIC limit. Custodians of UGMA/UTMA Accounts and trustees of trust Accounts should consider how these assets will be treated for purposes of the FDIC limit. For more information, please visit [www.fdic.gov](http://www.fdic.gov).

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**Investment products:**

<b>Are Not FDIC Insured</b>	<b>Are Not Bank Guaranteed</b>	<b>May Lose Value</b>
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