

Abandoned Property Account Reinstatement

Please use this form to transfer your holdings out of Abandoned Property status.

Why is my account considered Abandoned?

Pursuant to state law, generally an account is considered Abandoned if:

- There is a lack of client-initiated activity (reinvestment of dividends does not constitute client-initiated activity),
and/or
- Mail has been returned by the U.S. Postal Service or has been undeliverable via email (e-delivery)

Accounts are moved into an Abandoned status to prevent your personal account information from being sent to an invalid address or email address. Holdings in Abandoned status cannot be accessed until your contact information (phone number, address and email address) is updated.

NOTE: Notary is not required if account balance is under \$150,000. If the account balance is above \$150,000, the notarization is required. If you have changed your name, use your new name throughout this form, complete the Legal Name Change section on Page 2 and provide the requested documentation. If you are an heir of a deceased client, additional forms may be required. Please call 855.450.9015 for more information.

Any questions?

Please contact us if you have any questions regarding this form, 24/7 at **877.653.4732**.

Next steps

In order to avoid potential escheatment of your holdings to the state of your last known residence, please complete this form.

All account owners are required to execute their signatures in the presence of a certified public notary. Clients using a notary licensed by the state of California or Florida should use the notary acknowledgments on Page 4; all others should refer to Page 3.

For fastest service, please fax this document to **877.229.7160**; alternatively, use the enclosed envelope and mail to:

**Merrill Document Processing
PO Box 31024
Tampa, FL 33631-3024**

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Investment products:

Are Not FDIC Insured	Are Not Bank Guaranteed	May Lose Value
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Abandoned Property Reinstatement Document

Step 1: 8-Digit Merrill Account Number(s) (Required)

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Account number

-

Account number

Step 2: Client Name(s)

Account owner 1 (Please print first, middle and last name)

Account owner 2 - if applicable (Please print first, middle and last name)

Step 3: Enter Your Contact Information

*If P.O. Box is used, residential address is required and must also be listed

Residential address

City

State

ZIP Code

Mailing address

City

State

ZIP Code

Contact phone number

Email

Step 4: Legal Name Change (must be completed if applicable)

(Required if the account name has changed)

I have recently changed my name and would like my account to reflect this change. Please change my name on the above-referenced account to:

This change is due to (choose one):

(Please print first, middle and last name)

Date

Marriage

Divorce

Court order

(Sign with new name)

Date

Formerly known as:

(Sign with old name)

Date

In support of this request, I have submitted copies of the following documentation (at least one is required):

Marriage certificate

Divorce decree

Court order

Social Security card showing new name

Step 5: Action Taken Once Account Is Reinstated

Please select from the below the action to be taken when the account is reinstated:

Retain account

Close account

Transfer account to another brokerage firm

NOTE: Depending on the option selected above, additional documentation may be required.

Step 6: Confirm Ownership, Signature and Notary

If account balance is less than \$150,000, sign the below field and continue to Section 5.

Signature of account owner 1

Date

Signature of account owner 2

Date

THIS ACKNOWLEDGMENT MAY NOT BE USED BY CALIFORNIA OR FLORIDA NOTARIES. CALIFORNIA AND FLORIDA-LICENSED NOTARIES MUST COMPLETE THE NOTARY FIELDS CORRESPONDING TO THEIR STATE OF LICENSE ON THE FOLLOWING PAGES. A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document. Please note that all fields, including the boxes below, must be completed by a notary (or the document will be rejected).

I (we) confirm ownership of the account listed above. Please do not classify this account as abandoned.

Notarization of Account Owner 1 Signature (Required for account balances over \$150,000)

Signature of account owner 1

Date

(To be acknowledged in the presence of a notary)

Notary Acknowledgment:

State of

County of

The foregoing instrument was acknowledged before me, a notary public, this

_____ day of _____, 20 _____

by _____

(Account owner's name)

the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person or entity upon which the person acted, executed the instrument.

PLEASE CHECK ONE OF THE FOLLOWING (REQUIRED):

☐ Personally known to me **OR** ☐ Produced identification

Type of identification produced

WITNESS my hand and official seal.

Signature of notary

Print name of notary public

My commission
expires

Notarization of Account Owner 2 Signature (Required for account balances over \$150,000)

Signature of account owner 2

Date

(To be acknowledged in the presence of a notary)

Notary Acknowledgment:

State of

County of

The foregoing instrument was acknowledged before me, a notary public, this

_____ day of _____, 20 _____

by _____

(Account owner's name)

the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person or entity upon which the person acted, executed the instrument.

PLEASE CHECK ONE OF THE FOLLOWING (REQUIRED):

☐ Personally known to me **OR** ☐ Produced identification

Type of identification produced

WITNESS my hand and official seal.

Signature of notary

Print name of notary public

My commission
expires

Step 6 (continued): Confirm Ownership, Signature and California Notary

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

I (we) confirm ownership of the account listed above. Please do not classify this account as Abandoned.

California Notarization of Account Owner 1 Signature
(Required for account balances over \$150,000)

Signature of account owner 1
(To be acknowledged in the presence of a notary)

Date

State of California

County of

On before me, ,
(Date) (Insert name and title of the officer)
personally appeared ,
(Account owner's name)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

Seal

California Notarization of Account Owner 2 Signature
(Required for account balances over \$150,000)

Signature of account owner 2
(To be acknowledged in the presence of a notary)

Date

State of California

County of

On before me, ,
(Date) (Insert name and title of the officer)
personally appeared ,
(Account owner's name)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

Seal

Florida Notarization of Declarant 1 Signature
(Required for account balances over \$150,000)

Jurat for Florida

Must be signed in the Presence of Notary. Please note that all fields, including the boxes below, must be completed by the Notary Public or the document will be returned. This jurat must be used by notaries in Florida.

Signature of Declarant 1
Notarization is required

MM DD YYYY

State of Florida County of

Sworn to (or affirmed) and subscribed before me by means of
☐ physical presence or ☐ online notarization,

this day of , 20 by

(Insert signor's name, NOT notary's name)

Signature of notary public (Affix seal or stamp)

Print name of notary public

PLEASE CHECK ONE OF THE FOLLOWING (REQUIRED):
☐ Personally known OR ☐ Produced identification

Type of identification produced

Florida Notarization of Declarant 2 Signature
(Required for account balances over \$150,000)

Jurat for Florida

Must be signed in the Presence of Notary. Please note that all fields, including the boxes below, must be completed by the Notary Public or the document will be returned. This jurat must be used by notaries in Florida.

Signature of Declarant 2
Notarization is required

MM DD YYYY

State of Florida County of

Sworn to (or affirmed) and subscribed before me by means of
☐ physical presence or ☐ online notarization,

this day of , 20 by

(Insert signor's name, NOT notary's name)

Signature of notary public (Affix seal or stamp)

Print name of notary public

PLEASE CHECK ONE OF THE FOLLOWING (REQUIRED):
☐ Personally known OR ☐ Produced identification

Type of identification produced